UNITED STATES PATENT AND TRADEMARK OFF PATENT APPLICATION In re Application Richard P. Sinn Inventor: Art Unit: 2182 09/998,914 Application No.: November 30, 2001 Filed Date: Customer No.: 28554 Title: OBTAINING AND MAINTAINING RECEIVED REAL TIME CERTIFICATE STATUS MAR 2 0 2002

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Technology Center 2100

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on February 27, 2002.

William J. Harmon, III, Reg Signature Date: February 27, 2002

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant respectfully requests that the Examiner enter the following amendments to the above-identified patent application:

AMENDMENTS

Please amend the application as follows:

In the Claims:

Applicant adds new claims 2-41. All pending claims are reproduced below, including

those that remain unchanged.

03/14/2002 NMDHAMM1 00000040 09998914

01 FC:203 02 FC:202

189.00 OP 126.00 OP

Attorney Docket No.: OBLX-01039US0

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/998,914 Application Number **TRANSMITTAL** November 30, 2001 **Filing Date FORM** Sinn First Named Inventor Group Art Unit 2182 (to be used for all correspondence after initial filing) **Examiner Name** OBLX-01039US0 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) After Allowance Communication Fee Transmittal Form Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund RECEIVED Information Disclosure Statement CD, Number of CD(s) MAR 2 0 2002 Certified Copy of Priority Remarks Document(s) **Technology** Center 2100 Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William J. Harmon, III, Vierra Magen Marcus Harmon & DeNiro LLP Individual name Signature

CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:							
Typed or printed name	William J. Harmon, III, Reg. No. 40,635						
Signature	Will How to	Date Felynay 27, 7002					

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FEE TRANSMITTA for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

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Complete if Known						
Application Number 09/998,914						
Filing Date	November 30, 2001					
First Named Inventor	Sinn					
Examiner Name		LECEIVE				
Group Art Unit	2182	440 0 0 0000				
Attorney Docket No.	OBLX-01039US0	MAR 2 0 2002				

METHOD OF PAYMENT				FE	E CALCULATION (COMPONION	Center 2
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1 indicated fees and credit any overpayments to:		.arge		Sma		
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Deposit Account Vierra Magen Marcus Harmon & DeNiro LLP			:05	• (\$) 65	Surcharge - late filing fee or oath	
Name Charge Any Additional Fee Required	127 5	50 2	227	25	Surcharge - late provisional filing fee or cover sheet	
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.	139 13	30 1	39	130	Non-English specification	
See 37 CFR 1.27	147 2,5	520 1	47	2,520	For filing a request for ex parte reexamination	!
2. K Payment Enclosed: K Check Credit card Money Other	112 92	20 *	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113 1,8	340*	113	1,840	* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 11	10 2	215	55	Extension for reply within first month	
Large Entity Small Entity	116 40	00 2	216	200	Extension for reply within second month	
Foo Foo Fee Fee Description	117 92	20 2	217	460	Extension for reply within third month	I
Code (\$) Code (\$) Fee Paid	118 1,4	440 2	218	720	Extension for reply within fourth month	
101 740 201 370 Utility filing fee	128 1,9	960 2	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119 32	20 2	219	160	Notice of Appeal	,
107 510 207 255 Plant filing fee	120 32	20 2	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee				140	Request for oral hearing	
114 160 214 80 Provisional filing fee	138 1,5			1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00			240	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	141 1.2	280 2	241	640	Petition to revive - unintentional	L
Fee from Extra Claims below Fee Paid	142 1,2	280 2	242	640	Utility issue fee (or reissue)	
Total Claims 41 -20** = 21 x 9.00 = 189.00		60 2	243	230	Design issue fee	I
Independent $\begin{bmatrix} 6 \\ -3^{**} = \end{bmatrix} = \begin{bmatrix} 3 \\ 1 \end{bmatrix} \times \begin{bmatrix} 42.00 \\ 126.00 \end{bmatrix}$	144 6	20 2	244	310	Plant issue fee	<u> </u>
Claims = 0.00	122 1	30 1	122	130	Petitions to the Commissioner	
	123	50	123	50	Processing fee under 37 CFR 1.17(q)	<u> </u>
Large Entity Small Entity	126 1	80 -	126	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per	1
103 18 203 9 Claims in excess of 20	30,	,,	٠.	, 5	property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146 7	740 2	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 7	740 2	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
over original patent	179 7	740	279	370	Request for Continued Examination (RCE)]
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	l.		169	900	Request for expedited examination	
(\$) 315.00	Other fe	aa lene	ecife	4	of a design application	
SUBTOTAL (2) (\$) 315.00		JI				
**or number previously paid, if greater; For Reissues, see above	*Reduce	ed by	Bas	ic Filing	g Fee Paid SUBTOTAL (3) (\$)	0.00

SUBMITTED BY	Complete (if	Complete (if applicable)			
Name (PrintlType)	William J. Harmon, III	Registration No. (Attorney/Agent)	40,635	Telephone	415.369.9660
Signature	(1) Oll - Hame	W.		Date	February 27, 2002